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3624**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

2

Application Number

09/502,313

Filing Date

2/11/2000

First Named Inventor

Keith Rose

Art Unit

3624

Examiner Name

Campen, Kelly

Attorney Docket Number

1142

ENCLOSURES (Check all that apply)☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment / Reply

☐

After Final

☐

Affadavits/declaration(s)

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Extension of Time Request

☐

Express Abandonment Request

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Information Disclosure Statement

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Certified Copy of Priority Document(s)

☐Response to Missing Parts/
Incomplete Application☐Response to Missing Parts
under 37 CFR 1.52 or 1.53☐Assignment Papers
(for an Application)☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a
Provisional Application☐Power of Attorney, Revocation
Change of Correspondence Address☐

Terminal Disclaimer

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Request for Refund

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to Technology Center (TC)☐Appeal Communication to Board
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Proprietary Information

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or
Individual name

Charles E. Gotlieb

Signature

Date

October 7, 2005

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Arlington, VA 22313-1450 on the date shown below.

Typed or printed name

Meena Harris

Signature

Date

October 7, 2005

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October 7, 2005

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Re: **U.S. Patent Application Serial Number: 09/502,313**
Title: METHOD AND APPARATUS FOR RECEIVING A SUBSCRIPTION
FOR A SECURITY
Inventor(s): Keith Rose, Karin Riley and Coreen Kaplan
Filed: February 11, 2000
Our Reference No.: 10009-1142

More than 24 months have passed since the filing of this application on 2/11/2000. No communication has been received from the Patent and Trademark Office indicating action on this application. Please inform me of the present status of this application.

If you have any questions, please feel free to call me at (650) 328-0100.

Sincerely,

Charles E. Gotlieb

CEG/kaj